IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Mouritsen et al.

Serial No.

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October 21, 1997

Examiner

Ron Schadron

Art Unit

1644

For

INDUCING ANTIBODY RESPONSE AGAINST SELF-PROTEINS

WITH THE AID OF FOREIGN T-CELL EPITOPES

745 Fifth Avenue, New York, New York 10151

DECLARATION OF ROLF M. ZINKERNAGEL, PhD

Assistant Commissioner for Patents Washington, D.C. 20231 Dear Sir:

ROLF M. ZINKERNAGEL declares and says that:

1. I am familiar with the subject matter of the above-captioned application (the present application) as: I am informed that a concurrently-filed Amendment presents claims as reproduced below or substantially as reproduced below, after my signature, which I have read and understood; I have been informed that the Examiner has indicated that Dr. Paul Travers - a previous declarant in the present application - is not a person knowledgeable in the field of immunology or more specifically in the field relating to immunosuppression; and I have been informed that the Examiner, in rejecting claims, has indicated that it would have been obvious for an immunologist to substitute suppressor epitopes in self-proteins with foreign T-helper epitopes or that an immunologist could have substituted suppressor epitopes in self proteins with T-helper epitopes. My Curriculum vitae, which is publicly available at inter alia http://www.nobel.se/medicine/laureates/1996/zinkernagel-cv.html, is attached and incorporated herein by reference. Among other accomplishments, I was awarded the Nobel Prize in Physiology or Medicine with Peter C. Doherty, PhD, in 1996, for our discoveries in concerning the specificity of the cell mediated immune defense. Accordingly, I respectfully submit that I am well qualified to speak as to the present application and Dr. Travers' qualifications.



DR. TRAVERS IS WELL KNOWN AS BEING QUITE KNOWLEDGEABLE IN THE FIELD OF IMMUNOLOGY AND IN THE FIELD OF IMMUNOSUPPRESSION

- 2. As mentioned above, I am informed that the Examiner has indicated that Dr. Paul Travers is not a person knowledgeable in the field of immunology, or more specifically, in the field relating to immunosuppression. I respectfully disagree with the Examiner's opinion of Dr. Paul Travers.
- 3. Dr. Paul Travers is the prominent author of the preeminent textbook Janeway/Travers Immunology. This textbook is probably the most widely known and recognized textbook in immunology in the world. Hence, Dr. Paul Travers is an internationally acknowledged and recognized immunologist. Dr. Travers, in my opinion, has a profound knowledge of the basic functioning of the immune system, especially as reflected by Dr. Travers being the prominent author of the preeminent textbook Janeway/Travers Immunology again probably the most widely known and recognized textbook in immunology in the entire world.
- 4. Accordingly, I respectfully submit that no one in the field of immunology would or could reasonably concur with the Examiner's opinion that Dr. Paul Travers is not a person knowledgeable in the field of immunology, or more specifically, in the field relating to immunosuppression; and, I respectfully disagree with this opinion of Dr. Paul Travers by the Examiner.

THE EXAMINER'S HYPOTHETICAL SUBSTITUTION OF SUPPRESSOR EPITOPES IS NOT AND WAS NOT POSSIBLE

5. I am also informed that the Examiner has indicated that it would have been obvious for an immunologist to substitute supressor epitopes in self-proteins with foreign T-helper epitopes. As a person clearly skilled in the field of immunology, and indeed recognized as an expert in the field of immunology, I respectfully submit, based on my education, training and experience, that the Examiner's hypothetical substitution of suppressor epitopes in self-proteins with foreign T-helper epitopes, is today not possible, and was not possible at the August 26, 1993 effective filing date of the present application; and therefore, that it could not have been obvious for an immunologist to substitute suppressor epitopes in self-proteins with foreign T-helper epitopes.



- Simply, as a person clearly skilled in the field of immunology, and indeed 6. recognized as an expert in the field of immunology, based on my education, training and experience, I fail to see how the Examiner's hypothetical substitution of suppressor epitopes could be possible today or could have been possible at the August 26, 1993 effective filing date of the present application. Even today, it is highly controversial whether there exists such a thing as supressor epitopes; but, more importantly, there is, to the best of my knowledge, no known method of positively identifying such suppressor epitopes. And at the August 26, 1993 effective filing date of the present application, to the best of my knowledge, there was no known method of positively identifying such suppressor epitopes. Consequently it is not possible today - and was not possible at the August 26, 1993 effective filing date of the present application - to devise any strategy for substituting supressor epitopes with foreign T-helper epitopes. Clearly, if one skilled in the art could not and cannot positively identify suppressor epitopes in self-proteins (whose existence is still a matter of debate in the art), based on my education, training and experience, there is no way and was no way for the skilled artisan to perform the hypothetical substitution postulated by the Examiner.
- 7. Thus, it was not obvious and is not obvious for an immunologist to substitute suppressor epitopes in self-proteins with foreign T-helper epitopes, contrary to the Examiner's hypothesis that it would have been obvious to substitute suppressor epitopes in self-proteins with foreign T-helper epitopes, with which, based on my education, training and experience, I respectfully disagree.
- 8. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Dated: Kunker 8 May 02

ROLF M. ZINKERNAGEĽ, PKD

CLAIMS UNDERSTOOD TO BE ADDED OR SUBSTANTIALLY ADDED

By:_

--56. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that

animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein;

whereby, the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

57. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein;

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

58. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving

tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least four amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

59. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least ten amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

60. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least fifteen amino acids on each side of the peptide fragment,

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

61. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein; and, the modified self-protein elicits an immune response in the animal which includes an MHC class II immune response as to the immunodominant T-cell epitope and an autoantibody response in other MHC-haplotypes, and B-cell autotolerance to the self-protein is broken.

62. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by being detoxified and by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

63. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self-protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least four amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

64. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least ten amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

65. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving

secondary and tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least fifteen amino acids on each side of the peptide fragment,

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

66. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein; and, the modified self-protein elicits an immune response in the animal which includes an MHC class II immune response as to the immunodominant T-cell epitope and an autoantibody response in other MHC-haplotypes, and B-cell autotolerance to the self-protein is broken.

67. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by being detoxified and by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

68. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising;

preparing different modified self-proteins, wherein:

each modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal,

said substitution preserving tertiary structure of the self-protein, and the different modified self-proteins differ from each other with respect to the position of the at least one immunodominant T-cell epitope;

ascertaining which of the different modified self-proteins elicits a desired specific neutralizing effect and thereby ascertaining a desired modified self protein; and

administering to the animal, an immunologically effective amount of the desired modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein, and,

the desired modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

69. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, comprising;

preparing different modified self-proteins, wherein:

each modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal,

said substitution preserving secondary and tertiary structure of the self-protein, and

the different modified self-proteins differ from each other with respect to the position of the at least one immunodominant T-cell epitope;

ascertaining which of the different modified self-proteins elicits a desired specific neutralizing effect and thereby ascertaining a desired modified self protein; and

administering to the animal, an immunologically effective amount of the desired modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein, and,

the desired modified self-protein elicits antibodies that are against the self-protein, and B-cell autotolerance to the self-protein is broken.

- 70. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:
- a. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein;

whereby, the modified self-protein elicits antibodies that are against the selfprotein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

b. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein;

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

c. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least four amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the selfprotein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

d. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least ten amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the selfprotein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

e. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least fifteen amino acids on each side of the peptide fragment,

whereby the modified self-protein elicits antibodies that are against the selfprotein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

f. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes; and, the modified self-protein elicits an immune response in the animal which includes an MHC class II immune response as to the immunodominant T-cell epitope and an autoantibody response in other MHC-haplotypes, and B-cell autotolerance to the self-protein is broken; or,

g. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by being detoxified and by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the selfprotein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

h. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein, and said substitution

preserving flanking regions comprising at least four amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

i. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least ten amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

j. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least fifteen amino acids on each side of the peptide fragment,

whereby the modified self-protein elicits antibodies that are against the selfprotein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or, k. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes; and, the modified self-protein elicits an immune response in the animal which includes an MHC class II immune response as to the immunodominant T-cell epitope and an autoantibody response in other MHC-haplotypes, and B-cell autotolerance to the self-protein is broken; or,

1. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by being detoxified and by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

m. preparing different modified self-proteins, wherein:

each modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal,

said substitution preserving tertiary structure of the self-protein, and the different modified self-proteins differ from each other with respect to the position of the at least one immunodominant T-cell epitope;

ascertaining which of the different modified self-proteins elicits a desired specific neutralizing effect and thereby ascertaining a desired modified self protein; and

administering to the animal, an immunologically effective amount of the desired modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein, and,

the desired modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

n. preparing different modified self-proteins, wherein:

each modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal,

said substitution preserving secondary and tertiary structure of the selfprotein, and

the different modified self-proteins differ from each other with respect to the position of the at least one immunodominant T-cell epitope;

ascertaining which of the different modified self-proteins elicits a desired specific neutralizing effect and thereby ascertaining a desired modified self protein; and

administering to the animal, an immunologically effective amount of the desired modified self-protein, wherein:

the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein, and,

the desired modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken.

71. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, inducing antibody production in the animal against the self-protein of that animal, and eliciting an immune response in the animal which includes an MHC class II immune response as to an immunodominant T-cell epitope which is foreign to the animal and an

autoantibody response in other MHC-haplotypes, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:

a. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing the immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein; and, the modified self-protein elicits an immune response in the animal which includes an MHC class II immune response as to the immunodominant T-cell epitope and an autoantibody response in other MHC-haplotypes, and B-cell autotolerance to the self-protein is broken; or

b. the self-protein is normally autotolerated by the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing the immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein; and, the modified self-protein elicits an immune response in the animal which includes an MHC class II immune response as to the immunodominant T-cell epitope and an autoantibody response in other MHC-haplotypes, and B-cell autotolerance to the self-protein is broken.

- 72. (New) A method for breaking B-cell autotolerance in an animal to a self-protein of that animal, and inducing antibody production in the animal against the self-protein of that animal, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, comprising administering to the animal, an immunologically effective amount of at least one modified self-protein, wherein:
- a. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein;

whereby, the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

b. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein;

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

c. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least four amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the selfprotein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

d. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least ten amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

e. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least fifteen amino acids on each side of the peptide fragment,

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

f. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes; and, the modified self-protein elicits an immune response in the animal which includes an MHC class II immune response as to the immunodominant T-cell epitope and an autoantibody response in other MHC-haplotypes, and B-cell autotolerance to the self-protein is broken; or,

g. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by being detoxified and by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

h. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self-protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least four amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

i. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least ten amino acids on each side of the peptide fragment;

whereby the modified self-protein elicits antibodies that are against the selfprotein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

j. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self-protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein, and said substitution preserving flanking regions comprising at least fifteen amino acids on each side of the peptide fragment,

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

k. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes; and, the modified self-protein elicits an immune response in the animal which includes an MHC class II immune response as to the immunodominant T-cell epitope and an autoantibody response in other MHC-haplotypes, and B-cell autotolerance to the self-protein is broken; or,

1. the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein; and,

the modified self-protein is modified, in comparison to the self- protein, by being detoxified and by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal, said substitution preserving secondary and tertiary structure of the self-protein,

whereby the modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

m. preparing different modified self-proteins, wherein:

each modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal,

said substitution preserving tertiary structure of the self-protein, and the different modified self-proteins differ from each other with respect to the position of the at least one immunodominant T-cell epitope;

ascertaining which of the different modified self-proteins elicits a desired specific neutralizing effect and thereby ascertaining a desired modified self protein; and

administering to the animal, an immunologically effective amount of the desired modified self-protein, wherein:

the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein, and,

the desired modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken; or,

n. preparing different modified self-proteins, wherein:

each modified self-protein is modified, in comparison to the self- protein, by containing a substitution of at least one peptide fragment of the self-protein with a peptide containing at least one immunodominant T-cell epitope which is foreign to the animal,

said substitution preserving secondary and tertiary structure of the self-protein, and

the different modified self-proteins differ from each other with respect to the position of the at least one immunodominant T-cell epitope;

ascertaining which of the different modified self-proteins elicits a desired specific neutralizing effect and thereby ascertaining a desired modified self protein; and

administering to the animal, an immunologically effective amount of the desired modified self-protein, wherein:

the self-protein is normally non-immunogenic in the animal and there is normally B-cell autotolerance by the animal to the self-protein, and,

the desired modified self-protein elicits antibodies that are against the self-protein, earlier and in higher titres, in comparison to the self-protein conjugated to a carrier protein or peptide containing T-cell epitopes, and B-cell autotolerance to the self-protein is broken.

- 73. (New) The method of any one of claims 56-72 wherein the modified self-protein is a recombinant modified self-protein.
- 74. (New) The method of any one of claims 56-72 wherein the self-protein is tumor necrosis factor alpha (TNF- α), tumor nucrosis factor beta (TNF- β), gamma interferon (γ -interferon), interleukin 1 (IL-1) or immune globulin (IgE).
- 75. (New) The method of claim 73 wherein the self-protein is tumor necrosis factor alpha (TNF- α), tumor nucrosis factor beta (TNF- β), gamma interferon (γ -interferon), interleukin 1 (IL-1) or immune globulin (IgE).
- 76. (New) The method of any one of claims 56-72 wherein the administering includes administering an adjuvant.
- 77. (New) The method of claim 76 wherein the adjuvant comprises calcium phosphate, saponin, quil A or a biodegradable polymer.
 - 78. (New) The method of claim 73 wherein the administering includes an adjuvant.
 - 79. (New) The method of claim 75 wherein the administering includes an adjuvant.--

Institute of Experimenal Immunology curriculum vitae

Rolf M. Zinkernagel



Current Status

Name: Rolf Martin Zinkernagel Date of Birth: January 6, 1944 Place of Birth: Basel, Switzerland Marital Status: married, three children

Home adress: Rebhusstrasse 47, 8126 Zumikon

Home phone: +41-1-918 19 40

Business Address

University of Zurich University Hospital Departmet of Pathology Institute of Experimental Immunology Schmelzbergstrasse 12 CH-8091 Zürich

Phone: +41-1-255 29 89 Fax: +41-1-255 44 20 rolf.zinkemagel@pty.usz.ch

<u>Publications</u> Reviews Info Nobel Prize

Pub. Med. Listing of original papers

Education

Mathematisch-Naturwissenschaftliches Gymnasium, 1962 Basel, Matura

Faculty of Medicine, University of Basel 1962-1968

Course in Tropical Medicine, Tropical Institute, University 1967-1968

of Basel

1968 **National Board Examination**

1968 E.C.F.M.G. (USA)

M.D. Thesis 1970

Postgraduate course in Experimental Medicine, Faculty of 1971

Medicine, University of Zürich

1975 Ph.D.Thesis, Australian National University, Canberra,

Australia

Professional Record

1966 Externship, Glen Cove Community Hospital, Glen Cove, Long Island, N.Y., USA

1969 Internship, Surgical Department, Clara-Spital, affiliated to the Facility of Medicine, University of Basel

1969 - 1970 Postdoctoral Fellow, Laboratory for Electron

- Microscopy, Institute of Anatomy, University of Basel
- 1971 1973 Postdoctoral Fellow, Institute of Biochemistry, University of Lausanne, Switzerland
- 1973 1975 Visiting Fellow, Department of Microbiology, The John Curtin School of Medical Research, Australian, National University, Canberra, Australia
- 1975 1976 Associate (Assistant Professor), Department of Immunopathology, Research Institute of Scripps Clinic, La Jolla, California
- 1976 1979 Associate Member (Associate Professor),
 Department of Immunopathology, Scripps Clinic and
 Research Foundation, La Jolla, California
- 1977 1979 Adjunct Associate Professor, Department of Pathology, UCSD
- 1979 Member (Full Professor), Department of Immunopathology, Scripps Clinic and Research Foundation
- 1979 1988 Associate Professor, Department of Pathology, University of Zürich, University Hospital, Zürich
- 1988 1992 Full Professor, Department of Pathology, University of Zürich, University Hospital, Zürich
- 1992 Head, Institute of Experimental Immunology, Zürich

Honorary and Professional Organizations

- 1971 Swiss Society of Allergy and Immunology (President 1993 1994, Honorary member 1996)
- 1973 1975 Australian Society for Immunology (Honorary member 1996)
- 1977 American Association of Immunologists (Honorary member 1993)
- 1977 American Association of Pathologists
- 1978 Scandinavian Society of Immunology (Honorary member 1978)
- 1980 Société Française d'Immunologie (Honorary member 1980)
- 1980 Swiss Society of Microbiology
- 1981 Swiss Society of Pathology
- 1984 EMBO European Molecular Biology Organization
- 1987 Swiss Association for the Study of the Liver
- 1989 Swiss Society of Cell and Molecular Biology
- 1989 Academia Europea
- 1990 Gesellschaft für Immunologie
- 1990 International Society for Antiviral Research
- 1990 ENI European Network of Immunological Institutions
- 1990 Deutsche Gesellschaft für Virologie
- 1991 Deutsche Gesellschaft für Immunologie
- 1992 The Delphinium Society
- 1994 Schweizerische Akademie der Medizinischen Wissenschaften
- 1994 Deutsche Akademie der Naturforscher Leopoldina
- 1996 American Academy of Microbiology, Fellow
- 1996 US National Academy of Sciences, Foreign Fellow
- 1996 Australian Academy of Sciences, Foreign Fellow
- 1998 American Academy of Arts and Sciences, Foreign Fellow
- 1998 Royal Society, Foreign Fellow
- 1998 Academie Royale de Medicine de Belgique, Foreign Fellow
- 1998 Berlin-Brandenburgische Akademie der Wissenschaften
- 1998 Foundation Gen Suisse
- 1998 WIF World Innovation Foundation, Honorary Member
- 1998 ECEAR European Conference on Experimental AIDS Research
- 1999 FMH Verband der Schweizer Ärzte und Ärztinnen
- 2000 Schweizer Wissenschafts- und Technologierat
- 2001 Patronage Committee Foundation Swiss Bridge

Editorial Board

- 1976 1988 Experimental Cell Biology
- 1977 Immunogenetics
- 1978 1984 Parasite Immunology

- 1978 1980 Journal of Immunology
- 1979 1989 Thymus
- 1980 Zeitschrift für Immunologie-Immunobiology
- 1980 1988 Antiviral Research
- 1981 European Journal of Immunology (Executive Committee
- 1981 Journal of Environmental Pathology Toxicology & Oncology

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- 1981 1984 Journal of Experimental Medicine
- 1981 1983 Current Topics in Microbiology and Immunology
- 1982 Scandinavian Journal of Immunology
- 1983 Cellular Immunology
- 1983 International Journal of Microbiology
- 1987 1989 Europ. Molecular Biology Organization Journal
- 1987 European Journal of Clinical Investigation
- 1988 1991 Journal of Autoimmunity
- 1988 1991 Clinical Immunology and Immunopathology
- 1988 International Immunology
- 1988 2000 Urologia Internationalis
- 1989 Annual Review of Immunology
- 1991 International Review of Experimental Pathology
- 1991 International Journal of Clinical & Laboratory Research
- 1992 Immunology today
- 1992 Immunology and Cell Biology
- 1994 Immunity
- 1994 Viral Immunology 1994 2000 Virology
- 1995 Immunological Reviews
- 1996 Cell and Tissue Research
- 1997 Seminars in Immunopathology
- 1997 Current Opinion in Microbiology 1998 - International Journal of Molecular Medicine
- 1998 History and Philosophy of the Life Sciences
- 2000 Cytokine

Honours

- 1981 Cloëtta Stiftung (Zürich)
- 1982 Jung Stiftung (Hamburg)
- 1983 Paul Ehrlich Preis (Frankfurt)
- 1985 Mack-Forster Preis (Europ. Ass. Clin. Inv.)
- 1986 Gairdner Foundation (Toronto)
- 1987 Institute for Cancer Research (New York)
- 1988 Louis Jeantet Foundation (Geneva)
- 1988 Naegeli Stiftung (Zürich)
- 1992 Christoforo Colombo Award (Genova)
- 1995 Lasker Award (New York)
- 1996 Honorary Dr. h.c., University of Liège
- 1996 Honorary Dr. h.c., Australian National University, Canberra
- 1996 Nobel Prize for Medicine or Physiology
- 1997 Honorary Dr. h.c., University of Oslo
- 1997 Honorary Dr. h.c., University of Quebec
- 1997 Honorary Dr. h.c., University of Genova
- 1997 Drew-Novartis Award
- 1997 Reichstein Medaille (Zürich)
- 1998 Honorary Dr. h.c., Latvian University, Riga
- 1998 Honorary Dr. h.c., Agricultural University of Warsaw
- 1999 Honorary Companion in the General Division of The Order of Australia
- 1999 Member of the Order pour le mérite for Sciences and Arts
- 1999 Honorary Dr. h.c., University of Basel, Switzerland
- 2000 Honorary Dr. h.c., University of Montréal, Canada
- 2000 Honorary Dr. h.c., University of Buenos Aires, Argentina
- 2000 Honorary Dr. h.c., Medical Academy of the University of Warsaw
- 2000 Honorary Dr. h.c., Medical University of Odessa

Scientific Advisory Board

1977 - Temporary Adviser National Science Foundation

Washington

1979 - 1980 Study section NIH Virology

1980 NIH-Task Force Immunology

- 1981 1983 Gutachter Sonderforschungsbereich 107 «Vollzugsmechanismus der Immunreaktion», Mainz, DFG
- 1981 1983 Ministère de la recherche et de l'Industrie Action
 «Régulations en Immunologie et Immunopathologie» Paris
- 1982 1983 Schwerpunktprogramm Histokompatibilitätsgenkomplex Deutsche Forschungsgemeinschaft
- 1982 1986 Basel Institut für Immunologie, Swiss Scientific Advisory Board
- 1982 1991 Zentrum für Lehre und Forschung, Universität Basel, Advisory Board
- 1985 1989 Scientific Advising Group of Experts in Vaccine Development WHO
- 1986 1991 Scientific Advisory Board, Max Planck-Institute for Biology, Tübingen
- 1986 1988 Biogen, Advisor
- 1988 Cancer Research Institute (Scientific Advisory Council)
- 1988 Sandoz Prize for Immunology Committee
- 1989 Schweiz. Institut für Allergie und Asthmaforschung, Davos
- 1989 Academia Europaea, London
- 1990 1992 Founding Committee Max Planck Institute of Infectiology
- 1991 Scuola Superiore d'Immunologia, Napoli
- 1991 1993 EMBO Council
- 1992 1996 Biozentrum der Universität Basel
- 1992 2000 Marcel Benoist Preis Kommittee
- 1992 Universität Basel, Biozentrum
- 1996 2000 Jung-Stiftung für Wissenschaft und Forschung, Hamburg (Committee member)
- 1997 Fondation pour le Recherche sur le Vieillissement, Genève
- 1998 2000 Zurich University Association
- 1998 Foundation Science et Cité, Bern
- 1998 2000 WIF World Innovation Foundation, Huddersfield GB
- 1998 Swiss Bridge Foundation, Zürich
- 1999 Academy of Cancer Immunology, New York
- 1999 Biomedical Research and Study Centre, Riga
- 1999 SFO Foundation to support Organ Donation, Zürich

Special lectures

- 1979 The Kinyoun Lecture NIH
- 1980 Wellcome visiting Professorship, Denver
- 1980 Special University of London Lecture
- 1982 Campbell Memorial Lecture, Asilomar
- 1983 A. v. Graefe Lecture, Berlin
- 1983 Armauer Hansen Memorial Lecture, Addis Abeba
- 1993 Grabar Lecture, French Society of Immunology
- 1994 Harvey Lecture, New York
- 1986 Peter Gorer Lecture, British Society of Immunology
- 1997 Felix-Hoppe-Seyler Lecture
- 1997 Landsteiner Lecture, Frankfurt
- 1998 Hubert-Bloch Lecture, Basel
- 1998 Leopold G. Koss Lecture, Bern
- 1998 Wolfgang-Pauli Lecture, Zürich
- 2000 Meyenburg Lecture, Heidelberg

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Institute of Experimental Immunology

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 perfusion fixation. Experientia 28:1205-1206, 1972.
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